



1 **Interacting effects of land-use change, natural hazards and climate change on rice agriculture in Vietnam**

2 Kai Wan Yuen¹, Tang Thi Hanh², Vu Duong Quynh³, Adam D. Switzer¹, Paul Teng⁴, Janice Ser Huay Lee¹

3 ¹Earth Observatory of Singapore, Asian School of the Environment, Nanyang Technological University

4 Singapore

5 ²Faculty of Agronomy, Vietnam National University of Agriculture

6 ³Institute for Agricultural Environment, Vietnam

7 ⁴S. Rajaratnam School of International Studies, Nanyang Technological University, Singapore

8 **Abstract**

9 Vietnam is a major rice producer and much of the rice grown is concentrated in the Red River Delta (RRD) and
10 the Mekong River Delta (MRD). While the two mega-deltas are highly productive regions, they are vulnerable
11 to natural hazards and the effects of human related environmental change. The natural hazards that affect
12 Vietnam include typhoons, floods and droughts while the major anthropogenic developments happening in
13 Vietnam include dike development, sand mining, dam construction and groundwater extraction. Outbreaks of
14 pests and diseases are also common. Although there is a substantial volume of work investigating the
15 environmental impacts of these natural hazards and anthropogenic interventions, few studies have examined the
16 implications of these on food security. To show that the processes and issues affecting food security are
17 reinforcing and interdependent, we used a systems thinking approach to represent the ways in which natural
18 hazards, anthropogenic land-use and climate change affect rice production in the two mega-deltas. A key finding
19 is that anthropogenic developments meant to improve agricultural productivity or increase economic
20 development create many unwanted environmental consequences such as an increase in flooding, saltwater
21 intrusion and land subsidence which in turn create other negative feedbacks on rice production and quality. In
22 addition, natural hazards may amplify the problems created by human activities. In future, besides creating new
23 environmental threats, climate change may exacerbate the effects of natural hazards by increasing the frequency
24 and severity of natural disasters. Our meta-analysis highlights the ways in which a systems thinking approach
25 can yield more nuanced perspectives to tackle complex and interrelated environmental challenges. Given that
26 mega-deltas worldwide are globally significant for food production and are highly stressed and degraded
27 landscapes, a systems thinking approach can be applied to provide a holistic and contextualized overview of the
28 threats faced in each location.

29 Key words: causal network, system dynamics, rice, climate change, food security, Mekong Delta, Red River
30 Delta, Vietnam

31



32 **1. Introduction**

33 Rice is an indispensable staple crop for the 560 million low and lower-middle income people in Asia
34 (GRSP, 2013). Of the 160 million hectares (ha) of rice harvested globally in 2016, 140 million ha (88%) was
35 harvested in Asia, of which 47 million (29%) came from Southeast Asia (FAO, 2017). In 2017, Vietnam
36 exported US\$1.6 billion of rice and was the fifth largest rice exporter in the world contributing 7.5% of the
37 world's total rice exports (Workman, 2018). Besides cultivation for export, rice is also a staple food for the
38 Vietnamese (USDA, 2012). The importance of rice as a key cash crop in Vietnam is reflected in the total area
39 allocated for rice paddy production in 2016 – 4.1 million ha or 15% of the country's 27 million ha of
40 agricultural land (General Statistics Office of Vietnam, 2018).

41 While Vietnam's rice sector is an important source of revenue and food for the country, it is vulnerable to the
42 effects of natural and human related environmental change which can adversely affect rice productivity and rice
43 growing areas. Rice growing regions in Vietnam are concentrated in low-lying coastal areas, which are
44 susceptible to crop damage from natural hazards such as typhoons, storm surges, flooding and sea-level rise.
45 Approximately 59% of Vietnam's total land area and 71% of its population are susceptible to the impacts of
46 typhoons and floods due to its long coastline and large populations inhabiting low-lying coastal areas (Chau et
47 al., 2014). Typhoons are common in Vietnam with 147 typhoons making landfall in Vietnam from 1949 to 2014,
48 causing deaths and adversely affecting infrastructure, fisheries and agriculture (Huang et al., 2017; Nguyen et al.,
49 2019). On the other hand, droughts while uncommon have caused millions in economic loss, particularly in the
50 agriculture sector (Grosjean et al., 2016). The most recent 2015-2016 drought affected all the Mekong Delta
51 provinces and caused up to US\$360 million in damage, of which US\$300 million was agriculture and
52 aquaculture-related damage (Nguyen, 2017).

53 Human related environmental change in the form of anthropogenic land-use activities such as the application of
54 pesticides and land development may not immediately lead to crop damage but could threaten the long-term
55 viability of arable lands for rice production. For example, the use of pesticides in rice fields has led to pesticide
56 resistance. Killing plantoppers now requires a pesticide dose 500 times more than was needed in the past. In
57 addition, pesticide over-use also leads to the emergence of new strains of rice disease; make it increasingly
58 harder to avoid crop losses (Hoang et al., 2011; Normile, 2013). On the other hand, infrastructure related
59 development such as coastal dikes and hydroelectric dams together with resource extraction activities such as of
60 river bed sand mining and groundwater extraction can lead to a reduction in sediment and water availability
61 which are needed for the long-term productivity of rice agricultural systems (Allison et al, 2017; Robert, 2017;
62 Schmitt et al., 2017).

63 Many studies have investigated how Vietnam is affected by natural hazards or anthropogenic land-use changes
64 (cf. Howie, 2005; Minderhoud et al., 2018; Nguyen et al., 2019; Vinh et al., 2014) and a number of studies have
65 examined how natural hazards and changes in anthropogenic land-use have affected rice productivity. For
66 example, the construction of high dikes to mitigate flooding in the Mekong Delta has facilitated triple cropping
67 and increased rice yields (Chapman and Darby, 2016). Another example is how saltwater intrusion which is a
68 naturally occurring phenomenon has increased in extent in recent times due to a shrinking delta caused by
69 unsustainable levels of ground water extraction. This has limited rice production areas and forced many farmers
70 to convert their now-unusable rice fields into shrimp ponds (Kotera et al., 2005; Nguyen et al., 2017). However,
71 few studies have attempted to evaluate the overarching picture of how both natural hazards and anthropogenic
72 land-use could influence rice productivity, how these natural and human led drivers could interact in a way that
73 reinforces or diminishes rice production, and how the onset of climate change could create new challenges for
74 food production (Shrestha and Trang, 2015).

75 Accounting for the multiple effects of natural hazards, anthropogenic land-use and climate change on rice
76 productivity require a systems thinking approach (Bosch et al., 2007). Systems thinking is commonly used to
77 understand natural resource management since many of these issues are considered complex or "wicked"
78 problem situations (DeFries and Nagendra, 2017). Notably, it can be applied at multiple scales to understand
79 what factors drive environmental change at the global to local levels. Geist and Lambin (2002) and Lim et al.
80 (2017) applied a system-dynamics approach to understand drivers of deforestation and forest degradation at the



81 national and global scales while Ziegler et al. (2016) used a transdisciplinary learning approach to understand
82 the role of environmental and cultural factors in driving the development of human diseases in Northeast
83 Thailand at the local landscape scale.

84 Here, we apply a systems thinking approach to understand how rice productivity in Vietnam responds to
85 multiple natural and human drivers of change, and apply this approach at a regional scale, specifically focusing
86 on the Red River Delta (RRD) in the north and the Mekong River Delta (MRD) in the south. Our aim is to use a
87 literature review to develop causal loop diagrams to represent the major linkages between natural hazards and
88 anthropogenic land-use factors and elaborate on how they interact and influence rice productivity in these two
89 deltas. Due to the importance of Vietnam as a major rice producer and exporter in Southeast Asia, as well as the
90 range of threats faced by the rice sector from natural hazards and anthropogenic land-use, we hope to show how
91 the processes and issues affecting food security are not one dimensional and linear but in fact reinforcing and
92 interdependent.

93 2. Methods

94 2.1. Study sites

95 The Mekong River Delta (MRD) is the world's third largest delta (4 million ha) and the larger of the
96 two mega-deltas in Vietnam (Nguyen et al., 2007; Figure 1). In 2017, 4,188,800 ha of rice were planted in the
97 MRD with 23.6 million tons of rice produced. The delta is also home to 17.7 million people who depend on
98 agriculture for their livelihoods. That 55% of Vietnam's rice is grown in the MRD and most of it is exported
99 overseas makes it strategically important for the Vietnamese economy and for global food security (Chapman
100 and Darby, 2016; Cosslett and Cosslett, 2017; General Statistics Office of Vietnam, 2018). Up north, the Red
101 River Delta (RRD) is the next largest with a floodplain area of 2,105,100 ha (Figure 1; Nguyen et al., 2017). In
102 2017, 1,029,800 ha of planted rice produced 5.9 million tons of rice, the equivalent of 14% Vietnam's total rice
103 production. Approximately 21.3 million people live on the RRD and rely on agriculture for their livelihoods
104 (General Statistics Office of Vietnam, 2018).

105 Soils in the MRD are highly variable with alluvial, acid sulphate and saline soils dominant. Most of the rice
106 grows on the highly fertile alluvial soils which are found in only 30% of the delta (GRSP, 2013). Soils in the
107 RRD consist of thick Quaternary accumulation with loose and alternating sediment beds which are mostly
108 organic in nature (Berg et al., 2007). Climatically, the MRD and the RRD have a tropical monsoon climate with
109 an average annual rainfall of ~1800 mm/year. Due to their latitudinal differences, there are slight differences in
110 the average summer temperatures - the MRD have an average temperature of 27-30°C while the RRD have a
111 slightly lower temperature of 20-25°C. In the RRD, temperatures are lower in winter at 16-21°C (Li et al., 2006;
112 Ritzema et al., 2008). The two deltas also experience rainy and dry seasons differently. The rainy season in the
113 MRD is between June and November, while the rainy season in the RRD is between May and October. The dry
114 season in the MRD falls between December and May, while that of the RRD falls between November and April.
115 Both deltas are low-lying with elevations ranging from 0.7 to 1.2 m above sea level (Berg et al., 2007; Binh et
116 al., 2017, Luu et al., 2010).

117 In the MRD, favorable environmental conditions with ample rainfall, tropical temperatures and fertile alluvial
118 soils coupled with an extensive dike and irrigation system has facilitated the production of three rice crops
119 annually: winter-spring, summer-autumn and autumn-winter (Table 1). In 2017, the summer-autumn crop was
120 the largest (13 million tons), the winter-spring crop was the second largest (9.9 million tons), followed by the
121 autumn-winter crop (699,100 tons) (General Statistics Office of Vietnam, 2018). Compared to the MRD, rice is
122 planted bi-annually in the RRD, first, from February to March (spring crop) and a second time in July (summer
123 crop) (Table 1). The chilly winters preclude the cultivation of a third crop of rice. Approximately 3.5 million
124 tons of rice was produced during the spring cropping season while 2.5 million tons was produced during the
125 autumn season in 2017 (General Statistics Office of Vietnam, 2018).

126



127 2.2. Literature review and causal loop diagrams

128 A literature review was conducted to compile a list of relevant articles on the effects of natural hazards
129 and anthropogenic land-use on rice agricultural systems in the RRD or MRD. We used online databases such as
130 Scopus, Web of Science, Google, Google Scholar and individual journal databases and conducted this search
131 from June to October 2018. We included a range of literature sources including peer-reviewed journal articles,
132 book chapters and scientific reports from non-governmental organizations. In addition, we reviewed the
133 bibliographies of our articles to follow up with any other relevant literature that was not listed under our search.
134 Since climate change would affect the viability of the two deltas as a major rice producing region (Mainuddin et
135 al., 2006), we also included relevant articles on climate change and sea level rise.

136 We obtained 125 articles through our literature search and retained 101 articles which described how rice
137 production was affected by natural hazards and/or anthropogenic land-use. Every article was considered to be a
138 single case study and was read in detail by the lead author. Thereafter, the natural or anthropogenic drivers
139 and/or the environmental process that would lead to a change in rice productivity directly or indirectly were
140 identified. Adopting a systems thinking approach, we constructed causal network diagrams to identify and
141 visualize the interconnections among the drivers of rice productivity in both deltas.

142 We first developed causal links which describe how a driver, that could either be a natural hazard or an
143 anthropogenic land-use, would influence rice productivity either directly or through an environmental process.
144 We also documented if each driver had an increasing or decreasing effect on an environmental process that
145 could influence rice productivity by affecting rice growing area, rice yield or rice quality. This relationship is
146 represented by an arrow which indicates the direction of influence, from cause to effect. The polarity of the
147 arrows (plus or minus) indicates whether the effect is increasing or decreasing (Lim et al., 2017). A plus sign
148 indicates that a link has “positive polarity” and a minus sign indicates “negative polarity.” The polarity of the
149 causal link between A and B is said to be positive when an increase/decrease in A causes B to increase/decrease.
150 A causal link is negative when an increase/decrease in A causes B to decrease/increase (Newell and Watson,
151 2002). We constructed two causal network diagrams. The first causal network diagram describe how natural
152 hazards and anthropogenic land-use affect rice production in the MRD and RRD (Supplementary Table 1),
153 while the second causal network diagram describe the potential impact of climate change on rice production in
154 the MRD and RRD (Supplementary Table 2). The references we used are found in the Supplementary Materials.

155 3. Results

156 3.1. Multifaceted and interrelated challenges from natural hazards and anthropogenic activities

157 From our review, we found 94 case studies on how rice productivity in the RRD and MRD was
158 affected by natural hazards or by anthropogenic land-use (Supplementary Table 1). The natural hazards that had
159 an effect on rice productivity include tropical cyclones, floods and droughts. 44% (n=41) of our total case
160 studies contained information on the effects of tropical cyclones (n=12 studies), floods (31) and droughts (10).
161 On the other hand, anthropogenic land-use activities such as dike development (28), sand mining (18), dam
162 construction (41) and groundwater extraction (19) were found in 81% (n=76) of our reviewed studies. Outbreaks
163 of pests and diseases were considered an environmental process with 12 relevant studies. 68% (n=64) of the
164 articles we reviewed focused on the Mekong River Delta, while 21% (n=20) focused on the Red River Delta.
165 Studies that covered both deltas made up 11% (n=10).

166 Our causal loop diagram (Figure 2) shows how the processes and issues affecting rice production in the Mekong
167 River Delta (MRD) and the Red River Delta (RRD) are not one dimensional and linear but reinforcing and
168 interdependent. On one hand, anthropogenic developments such as dikes help enhance yields. On the other,
169 these developments could reduce rice growing areas and productivity over time. For example, flooding caused
170 by heavy monsoonal rains, typhoons or high tides is a naturally occurring phenomenon in the two mega-Deltas
171 of Vietnam (Chan et al., 2012). To avoid crop loss, dikes were constructed to keep floodwaters out. However,
172 the presence of high dikes in the MRD has reduced the supply of fertile alluvium, increasing the need for
173 artificial fertilizers and pesticides to maintain yields (Chapman et al., 2017; Figure 2). In addition, the deposition
174 of fluvial sediments also ensures the long term sustainability of the delta. According to Howie (2005), each



175 cubic metre of flood water contains up to half a kilogram of sediment, silt and organic matter which can be a
176 sizeable amount considering that (unprotected) low lying areas can be inundated by two to three metres of water
177 for three or four months every year. Without the high dikes, flood sediments can be used to offset land loss due
178 to land subsidence (Chapman and Darby, 2016) and maintain the delta landform for agricultural activities
179 (Figure 2).

180 Worst of all, poorly planned and/or maintained dikes are not only functionally ineffective against floodwaters or
181 coastal surges, they become an amplifier of destruction when their presence creates a false sense of security
182 which results in intensive development of low lying areas (Mai et al., 2009; Tran et al., 2018). Areas
183 unprotected by dikes may be more vulnerable to flooding as the excess water has to flow somewhere. Using a
184 GIS-linked numerical model, Le et al. (2007) confirmed that engineering structures in the MRD increased water
185 levels and flow velocities in rivers and canals. This in turn increased the risk of flooding in both non-protected
186 areas and protected areas (due to dike failure) (hashed lines in Figure 2 show that dikes do not necessarily
187 reduce flooding). Lastly, dikes and irrigation canals contribute to the salinity intrusion problem by acting as
188 efficient conduits for saltwater to flow upstream with saltwater seeping under dikes into agricultural land
189 (Nguyen et al., 2017).

190 Another example of an anthropogenic development creating other interrelated problems is that of groundwater
191 extraction. While groundwater extraction has increased the availability of water for human activities, it has
192 exacerbated land subsidence, which together with sea-level rise, have increased the severity and extent of
193 saltwater intrusion and reduced the suitability of land for rice cultivation. Moreover, rice crops become
194 contaminated with arsenic when arsenic-rich groundwater used for non-agricultural use is discharged into rivers
195 and the river water is used for rice irrigation (Lan and Giao, 2017; Minderhoud et al., 2018). Crop quality is
196 reduced when the arsenic enriched water is deposited on topsoils and absorbed by rice plants during growth
197 (Rahman and Hasegawa, 2011; Figure 2). Notably, natural hazards might also amplify the problems created by
198 human activities. Apart from the flooding and erosion problems that dikes create in unprotected areas, drought
199 could intensify ground water extraction, resulting in increased land subsidence, saltwater intrusion and arsenic
200 contamination (Binh et al., 2017; Erban et al., 2013; Nguyen, 2017).

201 Worryingly, sand mining and upstream dam construction have caused a substantial decline in fluvial sediment
202 loads with trickle down effects on rice growing areas and rice yields. Dams cause sediments to be impounded in
203 reservoirs behind the dams while sand mining mean that sand and sediment are taken away from where it should
204 naturally occur. The substantial reduction in sediment, coupled with the process of land subsidence and rising
205 seas will reduce the size of the delta and the availability of land for rice cultivation (Figure 2; Kondolf et al.,
206 2014; Kondolf et al., 2018). Although Darby et al. (2016) showed that one-third (32%) of the suspended
207 sediment reaching the delta is delivered by runoff generated by rainfall associated with tropical typhoons
208 (Figure 2), there is a lack of research quantifying sediment mobilization by typhoons. This process of sediment
209 transport has important implications for a delta adversely affected by substantial declines in fluvial sediment
210 loads.

211 3.2. Climate change

212 Besides creating new environmental challenges, pre-existing threats to rice production and food
213 security will be exacerbated by climate change. We found 31 articles which documented how climate change
214 could influence natural hazards and how this would lead to an increasing or decreasing effect on rice yield, rice
215 quality or the extent of rice cultivated (Supplementary Table 2). Some of the effects of climate change include
216 increasing temperatures, rising sea levels, variable rainfall as well as an increase in the frequency and severity of
217 natural hazards such as typhoons and droughts (Figure 3; Darby et al., 2016; Grosjean et al., 2016; Mainuddin et
218 al., 2011). In addition, there may be changes to the severity and distribution of pests and diseases (Sebesvari et
219 al., 2011) (Figure 3). Of the 31 case studies, 24 (77%) contained information on sea level rise and flooding, nine
220 (29%) contained information on the effects of climate change and typhoons, five (16%) on droughts and one
221 (3%) on pests and disease incidence. Likewise, most of these studies were focused on the Mekong Delta Region
222 (21), with four case studies (13%) for the Red River Delta and six case studies (19%) that include both deltas.



223 According to the Fifth Assessment Report by the United Nations Intergovernmental Panel on Climate Change
224 (IPCC), unabated greenhouse gas emissions will cause global temperatures to increase by up to 4.8°C (Stocker
225 et al., 2013). Increases in global temperatures leads to thermal expansion of seawater which accelerates the
226 melting of ice caps and glaciers. Consequently, a rise in sea levels is inevitable (Robert, 2017; Smajgl et al.,
227 2015). The IPCC has projected sea levels to rise from a rate of 3.2 mm/year from 1993 to 2010 to as much as 10
228 mm/year or more by 2100 (Church et al., 2013). This may result in a 0.98 m increase in sea level by 2100 (Lassa
229 et al., 2016). Presently, sea levels in Vietnam have increased by 5 cm in the last 30 years (Nguyen et al., 2007).
230 Rising sea levels coupled with coastal subsidence caused by compaction and groundwater extraction will cause
231 large portions of the low lying RRD and MRD to be inundated and flooded (Allison et al., 2017). This leads to a
232 loss of land available for rice production (Figure 3). Rising sea levels will also increase coastal erosion in both
233 the Mekong and the Red River Delta. Hanh and Furukawa (2007) showed that erosion has occurred along a
234 quarter of the coastline of each delta with a total of 469 km of coastline already eroding at a rate of 5 to 10
235 mm/year. With climate change, an even greater loss of land is expected at these sites with a significant loss of
236 (arable) land over time (Figure 3).

237 Sea level rise could also increase the risk of storm surges (Hanh and Furukawa, 2007). In the Red River Delta,
238 Neumann et al. (2015) found that sea level rise through 2050 could reduce the recurrence interval of the current
239 100 year storm surge of 5 m to once every 49 years. Inadequately constructed and poorly maintained dikes may
240 be breached resulting in flooding which will damage rice growing areas and other properties (Hanh and
241 Furukawa, 2007; Figure 3). Rising seas also facilitate infiltration of saltwater into groundwater aquifers and this
242 may increase salinity gradients in the MRD and RRD. In particular, salinity intrusion will worsen during the dry
243 season. Approximately 1.8 million ha in the MRD is already affected by dry season salinity of which 1.3 million
244 ha is affected by salinity levels above 5 g/L (Lassa et al., 2016). This area is predicted to increase to 2.2 million
245 ha with rising sea levels. In the RRD, the 1% salinity contour has migrated landwards by 4 to 10 km. Apart from
246 making the ground unsuitable for rice cultivation, the contamination of aquifers by saltwater reduces the
247 availability of freshwater for consumption (Hanh and Furukawa, 2007; Figure 3).

248 Climate change can also cause sea surface temperatures (SST) to increase. Hausfather et al. (2017) found that
249 SST has increased from 0.07°C to 0.12°C per decade from 1997 to 2015. This indicates a higher rate of
250 warming in recent years. An increase in SST could potentially generate more powerful typhoons with higher
251 wind speeds, more rainfall, and higher storm surges that last for a longer duration (Larson et al., 2014). An
252 increase in SST in the higher latitudes of the Pacific Ocean may also result in more typhoons from the
253 Northwest Pacific Ocean. These typhoons may travel eastwards and make landfall or pass close to Vietnam
254 (Nguyen et al., 2007). Using a high resolution climate model system (PRECIS 2.1), Wang et al. (2017)
255 examined the potential changes in typhoon activity in Vietnam posed by climate change. Their key findings
256 include an increase in tropical cyclone activity during winter due to more favourable large scale conditions and a
257 decrease in tropical cyclone activity in summer. This means that the Mekong River Delta could be affected by
258 more tropical cyclones as typhoon activity shift southwards towards the end of the year (Imamura and Dang,
259 1997). Similarly, Redmond et al. (2015) used PRECIS but concluded that although the number and intensity of
260 tropical cyclones across the South China Sea will likely increase under future climate change, their track
261 locations may shift eastwards and away from Vietnam. Their findings also showed that there would be an
262 increase in the amount of precipitation and frequency of the most intense typhoons. Even though the different
263 scenarios created by climate change were modelled, the consensus amongst scientists is that more frequent and
264 severe disasters can be expected.

265 In addition, climate change may also cause more frequent drought conditions. Regions previously affected by
266 droughts may see longer and more frequent droughts in future (Grosjean et al., 2016). Droughts do not result
267 solely from a lack of rainfall; it can also result from changes in rainfall patterns (Adamson and Bird, 2010).
268 Changes in the arrival of rains, the length of the wet season as well as the amount of rainfall mean that farmers
269 would be unable to plant and harvest rice based on current crop calendars as certain stages of rice growth that
270 require more water no longer coincide with periods of abundant rainfall (Lassa et al., 2016). For example, no
271 rain fell in the last three months of 2004 and the lack of rain caused a loss of 1.6 million ha of rice. Rainfall
272 during this period is needed for the full development of the rain-fed rice crop during its final stages of growth



273 (Adamson and Bird, 2010). In addition, drought conditions and inadequate rainfall exacerbates the salinity
274 intrusion problem (Nguyen et al., 2017) which leads to further reductions in rice yields (Figure 3).

275 Lastly, although extreme weather such as unusually high or low temperatures, excessive rainfall and prolonged
276 droughts have previously contributed to pest and disease outbreaks, the impacts of climate change on pest and
277 disease outbreak is unpredictable (Sebesvari et al., 2011). Individual pest species do not experience climate
278 change in isolation from other species and changes in environmental factors such as rainfall regimes and
279 temperature ranges will have different effects on the survivability of pests and their natural predators. For
280 example, the attack rates of *Cyrtorhinus lividipennis reuter*, a natural predator that attacks the eggs of the Brown
281 planthopper pest increased when temperatures were between 20 and 32°C. Beyond 35°C, the ability to reduce
282 Brown planthopper populations was curtailed (Song and Heong, 1997). It is also difficult to disentangle the
283 effects of climate change from crop management practices such as the overuse of agrochemicals and the practice
284 of intensive cropping which can influence outbreaks (Bastakoti et al., 2014; Bottrell and Schoenly, 2012;
285 Sebesvari et al., 2011). These factors explain the uncertain effect of climate change on pest and disease
286 outbreaks (Figure 3).

287 4. Discussion

288 4.1. Untangling complexity

289 Relevant information on the different drivers and environmental processes affecting rice production in
290 Vietnam are fragmented in a range of academic and non-academic sources (Bosch et al., 2007) making it
291 difficult for policymakers and managers to have a good overview of the reinforcing and interdependent
292 processes and issues affecting food security in Vietnam. Using a systems thinking approach, we use causal loops
293 to consider how rice productivity can be positively or negatively impacted by the various drivers and
294 environmental processes (Figure 2). In doing so, we highlight how the various natural hazards and
295 anthropogenic land-use activities may interact with one another and lead to unintended consequences such as an
296 increase in flooding, saltwater intrusion and land subsidence. In addition, we show that climate change may
297 exacerbate the effects of natural hazards by increasing the frequency and severity of natural disasters with
298 potential downsides on rice production (Figure 3).

299 The use of causal loop diagrams (Figure 2) can provide a general overview of the key anthropogenic drivers and
300 natural hazards that affect rice production but we caution that Red River Delta and the Mekong River Delta are
301 vast and diverse regions and there are differences in the ways each delta are affected by natural hazards and
302 anthropogenic drivers. For example, high dikes and the associated problem of sediment exclusion is a problem
303 unique to the Mekong Delta (Chapman et al., 2017). While high dikes are absent in the Red River Delta, a
304 common problem associated with dikes in both deltas is that of poor maintenance and planning which results in
305 dike failures with overtopping of floodwaters (Mai et al., 2009; Hanh and Furukawa, 2007; Pilarczyk and
306 Nguyen, 2005). Next, compared to the Mekong, the Red River has substantially fewer dams (364 vs 87). In
307 addition, typhoons are less common in the Mekong Delta and droughts occur less frequently in the Red River
308 Delta.

309 Within each mega-delta, typhoons tend to affect coastal provinces more than those further inland. Similarly,
310 arsenic contamination and saltwater intrusion is not an issue everywhere across the two deltas. A comparison
311 study of arsenic pollution in the Mekong and Red River Deltas showed that groundwater arsenic concentrations
312 ranged from 1-845 µg/L in the MRD and from 1-3050 µg/L in the RRD. Hotspots with high arsenic
313 concentrations were likely due to local geogenic conditions (Berg et al., 2007). For salinity intrusion, Kotera et
314 al. (2005) measured salinity concentrations in river and canal water across four Mekong Delta provinces and
315 showed that the salinity levels ranged from 0.6 to 14.4 g/L while a localized study in the Nam Dinh province in
316 the RRD showed that salt concentration in river water was higher at the river mouth than in upstream locations.
317 Hence, given the possibility of spatial variations within a large landscape, it is important for local conditions to
318 be taken into consideration.



319 One limitation of our study is that it was not possible to include all the problems that can potentially affect rice
320 cultivation in our causal loop diagrams. We acknowledge issues related to industrial pollution, which may
321 reduce rice quality and rice productivity (Khai and Yabe, 2012; 2013; Huong et al., 2008). However pollution
322 seems to be a localized issue rather than a major concern across the deltas (Phuong et al., 2010). In addition, the
323 over-use of chemical fertilizers and pesticides can reduce soil and water quality despite having positive effects
324 on rice yields (Guong and Hoa, 2012; Sebesvari et al., 2012). We are also aware of the conversion of rice
325 growing areas into shrimp ponds or for industrial and urban development which reduces the area of land
326 available for growing rice (Be et al., 1999; Tung and Higano, 2011). Furthermore, the limited research on sand
327 mining and groundwater induced land subsidence in the RRD mean that there is little understanding on the scale
328 of the problem(s) present, if any.

329 In spite of this, our study presents the major issues that are common in both mega-deltas and describes how the
330 issues and processes affecting rice production are multifaceted and interrelated. Adopting a systems thinking
331 approach has allowed the multitude of drivers and environmental processes affecting rice production to be
332 visualized and mapped in a manner that is easy to understand. As ameliorating problems require policymakers
333 and managers to have a good grasp of the different factors and processes present, a method that considers all the
334 different drivers and possible unintended consequences from the outset can help avoid the risk of
335 oversimplifying a problem and assuming a straightforward solution can be found (DeFries and Nagendra, 2007).
336 For example, to solve the problem of a shrinking delta, the effects of (high) dikes, sand mining, upstream dams
337 and groundwater extraction have to be considered. While typhoons may increase fluvial sediment loads to offset
338 a shrinking delta (Darby et al., 2016), more intense and more frequent typhoons wrought by climate change is
339 not necessarily a good thing especially in vulnerable coastal areas (Figure 3). Additionally, an impending
340 typhoon would mean that precautions against strong winds, heavy rains and flooding must be taken (Figure 2).

341 **4.2. Hard and soft solutions**

342 Presently, management options to increase agricultural productivity and mitigate climate change are
343 largely characterized by hard options such as the construction of dikes, sea walls and sluice gates (Neumann et
344 al., 2015; Smajgl et al., 2015). While these highly visible engineering structures are easily constructed and are
345 generally effective, unwanted side effects may be created, such as those associated with high dikes in the
346 Mekong. Flooding, sediment exclusion and exacerbating land subsidence are some of the problems that were
347 inadvertently created. In the long term, (costly) maintenance is needed to maintain their functionality (Hoang et
348 al., 2018; Neumann et al., 2015). A combination of hard and soft options (e.g., implementing crop and land use
349 change) to respond to environmental threats and climate change is advocated with blanket use of either option
350 inadvisable (Conway, 2015). Smajgl et al. (2015) pointed out that erecting sea dikes in the western parts of the
351 Mekong Delta is likely to reduce the income of thousands of households that have adapted to increasing salinity
352 levels by cultivating shrimp which require saline conditions (a soft option); while hard options for the eastern
353 coastline to protect the land from sea level rise and salinity intrusions is a plausible solution as intensive rice
354 agriculture is still dominant there.

355 Another soft solution that can be implemented to improve livelihoods includes integrated farming practices such
356 as integrated pest management (IPM). Instead of relying solely on pesticides to rid pests, farmers that practice
357 IPM use a combination of pest resistant cultivars, fertilizer management and agronomic practices to increase the
358 effects of predators and other naturally occurring biological control agents. For example, farmers can grow
359 flowers, okra and beans along their paddy fields to attract bees and wasps that infest planthopper pests' eggs.
360 With more natural predators around, pesticides are only used when necessary (Bottrell and Schoenly, 2012;
361 Normile, 2013). Other options include rice-fish farming and duck-rice systems to provide a more economically
362 and ecologically sustainable alternative to intensive rice monoculture (Berg and Tam, 2012; Men et al., 2002).

363 In rice-fish farming, farmers use minimal pesticide as it kills the fish and the natural predators of rice pests.
364 Instead, fish helps to control pests and fish droppings keep the soil fertile. Upon maturity, the fish can be sold to
365 increase the farmer's income by up to 30% (Berg et al., 2017; Bosma et al., 2012). Ducks can also be reared in
366 immature rice fields. Besides providing food, the ducks serve as biological controls for insects and weeds. Their
367 droppings fertilize the soils and their movement aerates the water to benefit the rice plants (Men et al., 1999;



368 2002). Men et al. (2002) showed that a duck-rice system in Can Tho province in the Mekong eliminated the use
369 of pesticides, halved the use of fertilizers and the additional income from the sale of ducks increased farmers'
370 incomes by 50 to 150%. Overall, the higher incomes and ecosystem services provided by the fish or ducks,
371 coupled with reduced agrochemical use benefits farmers.

372 Increasingly, there are calls to move away from three to two rice crops a year. Instead of planting a third crop,
373 floodwaters are allowed to enter the fields to replenish soil nutrients, wash away contaminants, kill pests and
374 mitigate salinity intrusion. Fish, crabs and snails that arrive with the floodwaters can be collected for additional
375 income. Triple cropping of rice provides only a single ecosystem service which is marketable rice while the
376 integration of rice cropping with natural flooding creates a series of positive feedbacks mechanisms and
377 ecosystem services such as rice, fish, pest control and nutrient cycling (Nikula, 2018; Tong, 2017).

378 Looking ahead, the need for holistic land use planning and for soft measures on top of hard engineering
379 structures is something that is applicable in other localities. Although soft measures are not perfect, they are
380 arguably less environmentally damaging. Conversely, engineering structures tend to create unintended
381 consequences post-construction. In addition, during the pre-construction phase, natural vegetation may need to
382 be cleared (Geist and Lambin., 2002). The adoption of soft strategies requires political and social acceptance of
383 the measures such as the need for local communities to learn and implement new farming methods and for
384 funding agencies to be willing to equip local farmers with the necessary knowledge and resources. While
385 initially troublesome, there are cost saving benefits to be reaped in the long run. Initial start-up costs to educate
386 and equip local communities is likely to be less than the maintenance costs for hard options which is likely to be
387 incurred repeatedly over many years (Conway, 2015; Smajgl et al., 2015). Adopting a systems thinking
388 approach would allow policymakers and managers to situate the range of mitigation measures within broader
389 environmental processes. In the process, a clearer view of the possibilities and challenges present in an era of
390 widespread anthropogenic development and changing climates is provided.

391 5. Conclusion

392 The focus of this paper is on the impacts of natural hazards, land use patterns and climate change on
393 rice agriculture in the Mekong and Red River Deltas in Vietnam. While we focused on rice agriculture, these
394 two deltas, like many other mega-deltas worldwide, are also major production hubs for fruits and vegetables
395 (Day et al., 2016; Nhan and Cao, 2019). Hence, the natural hazards and anthropogenic factors listed will have an
396 effect on other agricultural produce as well. In this study, the natural hazards that adversely affect Vietnam
397 include typhoons, floods and droughts. Outbreaks of pests and diseases are also common. Meanwhile, dike
398 development, sand mining, dam construction and groundwater extraction are the main anthropogenic
399 developments that have a major impact on rice production in the two mega-deltas. Few studies have examined
400 the implications of these hazards and drivers on food security as research is largely focused on their broader
401 environmental impacts (e.g., sedimentation, deforestation). As the processes and issues affecting food security
402 are multidimensional and interdependent, we have used a systems thinking approach to develop a visual
403 representation of the ways in which natural hazards, anthropogenic land-use and climate change factors affect
404 rice quantity and quality in the MRD and the RRD in Vietnam.

405 A key finding is that anthropogenic developments can improve agricultural productivity but also create
406 unintended environmental problems. Even human activities that are unrelated to agriculture such as sand mining
407 and dam construction can have negative effects on rice productivity. In addition, natural hazards may amplify
408 the problems created by human activities. In the long term, besides creating new environmental threats, climate
409 change may exacerbate the effects of natural hazards by increasing the frequency and severity of natural
410 disasters. While the effect of climate change on food productivity is still uncertain, the causal loop diagram
411 allow the multiple, interrelated uncertainties and risks to be illustrated.

412 Our review focuses on food security in Vietnam's two mega-deltas but can be applied to other contexts. The
413 problems present in the two mega-deltas in Vietnam are hardly unique. Across the world, deltas are global food
414 production hubs with a large supporting population. Nearly half a billion people live in deltaic regions. Similar
415 to the Mekong and Red River Delta, large tracts of deltaic wetlands in other countries have been reclaimed for



416 agriculture, aquaculture, urban and industrial land use. Resultantly, many deltas suffer from flooding, retreating
417 shorelines due to upstream dams, pollution problems and increasing land subsidence due to groundwater and
418 mineral extraction. With climate change, rising sea levels will further threaten the viability of the deltaic
419 landform (Chan et al., 2012; Day et al., 2016; Giosan et al., 2014; Syvitski et al., 2009).

420 Given that river deltas worldwide are highly stressed and degraded landscapes, a systems thinking approach can
421 provide a holistic overview of the threats faced in each location and how the various environmental processes
422 interact with each other. Although our study has focused on rice agriculture in the two mega-deltas in Vietnam,
423 the application of a systems thinking approach to evaluate other pertinent phenomena in deltas elsewhere is a
424 useful tool for understanding how human activity and climate change have compromised deltaic sustainability.

425 **Acknowledgements**

426 This project was funded by EOS grant M4430263.B50.706022. We thank Khoi Dang Kim, Montesclaros Jose
427 Ma Luis Pangalangan and Nghiem Thi Phuong Le for their advice.

428 **6. References**

- 429
430 Adamson, P., and Bird, J.: The Mekong: A drought-prone tropical environment?, *International Journal of Water*
431 *Resources Development*, 26, 579-594, 10.1080/07900627.2010.519632, 2010.
432
433 Allison, M. A., Nittrouer, C. A., Ogston, A. S., Mullarney, J. C., and Nguyen, T. T.: Sedimentation and survival
434 of the Mekong Delta. A case study of decreased sediment supply and accelerating rates of relative sea level rise,
435 *Oceanography*, 30, 98-109, <https://doi.org/10.5670/oceanog.2017.318>, 2017.
436
437 Bastakoti, R. C., Gupta, J., Babel, M. S., and van Dijk, M. P.: Climate risks and adaptation strategies in the
438 Lower Mekong River basin, *Regional Environmental Change*, 14, 207-219, 10.1007/s10113-013-0485-8, 2014.
439
440 Be, T. T., Dung, L. C., and Brennan, D.: Environmental costs of shrimp culture in the rice - growing regions of
441 the Mekong Delta, *Aquaculture Economics & Management*, 3, 31-42, 10.1080/13657309909380231, 1999.
442
443 Berg, H., and Tam, N. T.: Use of pesticides and attitude to pest management strategies among rice and rice-fish
444 farmers in the Mekong Delta, Vietnam, *International Journal of Pest Management*, 58, 153-164,
445 10.1080/09670874.2012.672776, 2012.
446
447 Berg, H., Ekman Söderholm, A., Söderström, A.-S., and Tam, N. T.: Recognizing wetland ecosystem services
448 for sustainable rice farming in the Mekong Delta, Vietnam, *Sustainability Science*, 12, 137-154,
449 10.1007/s11625-016-0409-x, 2017.
450
451 Berg, M., Stengel, C., Trang, P. T. K., Hung Viet, P., Sampson, M. L., Leng, M., Samreth, S., and Fredericks,
452 D.: Magnitude of arsenic pollution in the Mekong and Red River Deltas — Cambodia and Vietnam, *Science of*
453 *The Total Environment*, 372, 413-425, <https://doi.org/10.1016/j.scitotenv.2006.09.010>, 2007.
454
455 Binh, D. V. K., Sameh, Sumi, T., and Mai, N. T. P. T., La Vinh: Study on the impacts of river-damming and
456 climate change on the Mekong Delta of Vietnam, *DPRI Annuals*, 2017.
457
458 Bosch, O. J. H., King, C. A., Herbohn, J. L., Russell, I. W., and Smith, C. S.: Getting the big picture in natural
459 resource management—systems thinking as ‘method’ for scientists, policy makers and other stakeholders,
460 *Systems Research and Behavioral Science*, 24, 217-232, 10.1002/sres.818, 2007.
461
462 Bosma, R. H., Nhan, D. K., Udo, H. M. J., and Kaymak, U.: Factors affecting farmers’ adoption of integrated
463 rice–fish farming systems in the Mekong delta, Vietnam, *Reviews in Aquaculture*, 4, 178-190, 10.1111/j.1753-
464 5131.2012.01069.x, 2012.
465
466 Bottrell, D. G., and Schoenly, K. G.: Resurrecting the ghost of green revolutions past: The brown planthopper as
467 a recurring threat to high-yielding rice production in tropical Asia, *Journal of Asia-Pacific Entomology*, 15, 122-
468 140, <https://doi.org/10.1016/j.aspen.2011.09.004>, 2012.



- 469 Chan, F. K. S., Mitchell, G., Adekola, O., and McDonald, A.: Flood risk in Asia's urban mega-deltas: Drivers,
470 impacts and response, *Environment and Urbanization ASIA*, 3, 41-61, 10.1177/097542531200300103, 2012.
471
- 472 Chapman, A., and Darby, S.: Evaluating sustainable adaptation strategies for vulnerable mega-deltas using
473 system dynamics modelling: Rice agriculture in the Mekong Delta's An Giang Province, Vietnam, *Science of
474 The Total Environment*, 559, 326-338, <https://doi.org/10.1016/j.scitotenv.2016.02.162>, 2016.
475
- 476 Chapman, A., Darby, S., Tompkins, E., Hackney, C., Leyland, J., Van, P. D. T., Pham, T. V., Parsons, D., Aalto,
477 R., and Nicholas, A.: Sustainable rice cultivation in the deep flooded zones of the Vietnamese Mekong Delta,
478 *Vietnam Journal of Science, Technology and Engineering*, 59, 5,
479 <https://doi.org/10.31276/VJSTE.59%282%29.34>, 2017.
480
- 481 Chau, V. N., Cassells, S., and Holland, J.: Measuring direct losses to rice production from extreme flood events
482 in Quang Nam province, 58th AARES Annual Conference, Port Macquarie, New South Wales, 4-7 Feb 2014,
483 2014.
484
- 485 Church, J. A., Clark, P. U., Cazenave, A., Gregory, J. M., Jevrejeva, S., Levermann, A., Merrifield, M. A.,
486 Milne, G. A., Nerem, R. S., Nunn, P. D., Payne, A. J., Pfeffer, W. T., Stammer, D., and Unnikrishnan, A. S.:
487 Sea level change, in: *Climate change 2013: The physical science basis. Contribution of Working Group I to the
488 Fifth Assessment Report of the Intergovernmental Panel on Climate Change*, edited by: Stocker, T. F., Qin, D.,
489 Plattner, G.-K., Tignor, M., Allen, S. K., Boschung, J., Nauels, A., Xia, Y., Bex, V., and Midgley, P. M.,
490 Cambridge University Press, Cambridge, United Kingdom and New York, USA, 1137-1216, 2013.
491
- 492 Conway, D.: Hard choices and soft outcomes?, *Nature Climate Change*, 5, 105, 10.1038/nclimate2511, 2015.
493
- 494 Cosslett, T. L., and Cosslett, P. D.: Rice cultivation, production, and consumption in Mainland Southeast Asian
495 Countries: Cambodia, Laos, Thailand, and Vietnam, in: *Sustainable development of rice and water resources in
496 Mainland Southeast Asia and Mekong River Basin*, Springer Singapore, Singapore, 29-53, 2018.
497
- 498 Darby, S. E., Hackney, C. R., Leyland, J., Kumm, M., Lauri, H., Parsons, D. R., Best, J. L., Nicholas, A. P.,
499 and Aalto, R.: Fluvial sediment supply to a mega-delta reduced by shifting tropical-cyclone activity, *Nature*, 539,
500 276, 10.1038/nature19809, 2016.
501
- 502 Day, J. W., Agboola, J., Chen, Z., D'Elia, C., Forbes, D. L., Giosan, L., Kemp, P., Kuenzer, C., Lane, R. R.,
503 Ramachandran, R., Syvitski, J., and Yañez-Arancibia, A.: Approaches to defining deltaic sustainability in the
504 21st century, *Estuarine, Coastal and Shelf Science*, 183, 275-291, <https://doi.org/10.1016/j.ecss.2016.06.018>,
505 2016.
506
- 507 DeFries, R., and Nagendra, H.: Ecosystem management as a wicked problem, *Science*, 356, 265,
508 10.1126/science.aal1950, 2017.
509
- 510 Erban, L. E., Gorelick, S. M., Zebker, H. A., and Fendorf, S.: Release of arsenic to deep groundwater in the
511 Mekong Delta, Vietnam, linked to pumping-induced land subsidence, *Proceedings of the National Academy of
512 Sciences*, 110, 13751-13756, 10.1073/pnas.1300503110, 2013.
513
- 514 FAOSTAT: <http://www.fao.org/faostat/en/#data>, access: 16 Nov 2018, 2017.
515
- 516 Geist, H. J., and Lambin, E. F.: Proximate causes and underlying driving forces of tropical deforestation:
517 Tropical forests are disappearing as the result of many pressures, both local and regional, acting in various
518 combinations in different geographical locations, *BioScience*, 52, 143-150, 10.1641/0006-
519 3568(2002)052[0143:PCAUDF]2.0.CO;2, 2002.
520
- 521 Agriculture, forestry and fishing: http://www.gso.gov.vn/default_en.aspx?tabid=778, access: 19 Nov 2018, 2018.
522
- 523 Giosan, L., Syvitski, J., Constantinescu, S., and Day, J.: Climate change: protect the world's deltas, *Nature News*,
524 516, 31, 10.1038/516031a, 2014.
525
- 526 GRSP (Global Rice Science Partnership): *Rice almanac*, 4th edition, International Rice Research Institute, Los
527 Baños, 2013.
528



- 529 Grosjean, G., Monteils, F., Hamilton, S. D., Blaustein-Rejto, D., Gatto, M., Talsma, T., Bourgoïn, C., Sebastian,
530 L.S., Catacutan, D., Mulia, R., Bui, Y., Tran, D. N., Nguyen, K. G., Pham, M. T., Lan, L. N., and Läderach, P.:
531 Increasing resilience to droughts in Vietnam; The role of forests, agroforests and climate smart agriculture.
532 CCAFS-CIAT-UN-REDD Position Paper n.1, Hanoi, 2016.
533
- 534 Guong, V. T., and Hoa, N. M.: Aquaculture and agricultural production in the Mekong Delta and its effects on
535 nutrient pollution of soil and water, in: The Mekong Delta system: Interdisciplinary analyses of a river delta,
536 edited by: Renaud, F. G., and Kuenzer, C., Springer Netherlands, Dordrecht, 363-393, 2012.
537
- 538 Hanh, P. T. T., and Furukawa, M.: Impact of sea level rise on coastal zone of Vietnam, *Bulletin of the Faculty of*
539 *Science, University of the Ryukyu*, 84, 45-49, 2007.
540
- 541 Hausfather, Z., Cowtan, K., Clarke, D. C., Jacobs, P., Richardson, M., and Rohde, R.: Assessing recent warming
542 using instrumentally homogeneous sea surface temperature records, *Science Advances*, 3,
543 10.1126/sciadv.1601207, 2017.
544
- 545 Hoang, A. T., Zhang, H.-m., Yang, J., Chen, J.-p., Hébrard, E., Zhou, G.-h., Vinh, V. N., and Cheng, J.-a.:
546 Identification, characterization, and distribution of southern rice black-streaked dwarf virus in Vietnam, *Plant*
547 *Disease*, 95, 1063-1069, 10.1094/pdis-07-10-0535, 2011.
548
- 549 Hoang, L. P., Biesbroek, R., Tri, V. P. D., Kumm, M., van Vliet, M. T. H., Leemans, R., Kabat, P., and
550 Ludwig, F.: Managing flood risks in the Mekong Delta: How to address emerging challenges under climate
551 change and socioeconomic developments, *Ambio*, 47, 635-649, 10.1007/s13280-017-1009-4, 2018.
552
- 553 Howie, C.: High dykes in the Mekong Delta in Vietnam bring social gains and environmental pains,
554 *Aquaculture News*, 32, 15-17, 2005.
555
- 556 Huang, X., He, L., Zhao, H., and Huang, Y.: Characteristics of tropical cyclones generated in South China Sea
557 and their landfalls over China and Vietnam, *Natural Hazards*, 88, 1043-1057, 10.1007/s11069-017-2905-4, 2017.
558
- 559 Huong, N. T. L., Ohtsubo, M., Li, L., Higashi, T., Kanayama, M., and Nakano, A.: Heavy metal contamination
560 of soil and rice in a wastewater-irrigated paddy field in a suburban area of Hanoi, Vietnam, *Clay Science*, 13,
561 205-215, 10.11362/jcssjclayscience1960.13.205, 2008.
562
- 563 Imamura, F., and Dang, V. T.: Flood and Typhoon disasters in Viet Nam in the half century since 1950, *Natural*
564 *Hazards*, 15, 71-87, 10.1023/a:1007923910887, 1997.
565
- 566 Khai, H. V., and Yabe, M.: Rice Yield Loss Due to Industrial Water Pollution in Vietnam, *Journal of US-China*
567 *Public Administration*, 9, 248-256, 2012.
568
- 569 Khai, H. V., and Yabe, M.: Impact of industrial water pollution on rice production in Vietnam, in: *International*
570 *perspectives on water quality management and pollutant control*, IntechOpen, 2013.
571
- 572 Kondolf, G. M., Rubin, Z. K., and Minear, J. T.: Dams on the Mekong: Cumulative sediment starvation, *Water*
573 *Resources Research*, 50, 5158-5169, 10.1002/2013WR014651, 2014.
574
- 575 Kondolf, G. M., Schmitt, R. J. P., Carling, P., Darby, S., Arias, M., Bizzi, S., Castelletti, A., Cochrane, T. A.,
576 Gibson, S., Kumm, M., Oeurng, C., Rubin, Z., and Wild, T.: Changing sediment budget of the Mekong:
577 Cumulative threats and management strategies for a large river basin, *Science of The Total Environment*, 625,
578 114-134, <https://doi.org/10.1016/j.scitotenv.2017.11.361>, 2018.
579
- 580 Kotera, A., Nawata, E., Thao, L. V., Vuong, N. V., and Sakuratani, T.: Effect of submergence on rice yield in
581 the Red River Delta, Vietnam, *Japanese Journal of Tropical Agriculture*, 49, 197-206, 10.11248/jsta1957.49.197,
582 2005.
583
- 584 Lan, N. X., and Giao, N. T.: Arsenic dynamics within rice production systems in the Mekong Delta, Viet Nam,
585 *Imperial Journal of Interdisciplinary Research*, 3, 2017.
586



- 587 Larson, M., Hung, N. M., Hanson, H., Sundström, A., and Södervall, E.: 2 - Impacts of typhoons on the
588 Vietnamese coastline: A case study of Hai Hau Beach and Ly Hoa Beach, in: Coastal disasters and climate
589 change in Vietnam, edited by: Thao, N. D., Takagi, H., and Esteban, M., Elsevier, Oxford, 17-42, 2014.
590
- 591 Lassa, J. A., Lai, A. Y.-H., and Goh, T.: Climate extremes: an observation and projection of its impacts on food
592 production in ASEAN, *Natural Hazards*, 84, 19-33, 10.1007/s11069-015-2081-3, 2016.
593
- 594 Le, T. V. H., Nguyen, H. N., Wolanski, E., Tran, T. C., and Haruyama, S.: The combined impact on the flooding
595 in Vietnam's Mekong River delta of local man-made structures, sea level rise, and dams upstream in the river
596 catchment, *Estuarine, Coastal and Shelf Science*, 71, 110-116, <https://doi.org/10.1016/j.ecss.2006.08.021>, 2007.
597
- 598 Li, Z., Saito, Y., Matsumoto, E., Wang, Y., Tanabe, S., and Lan Vu, Q.: Climate change and human impact on
599 the Song Hong (Red River) Delta, Vietnam, during the Holocene, *Quaternary International*, 144, 4-28,
600 <https://doi.org/10.1016/j.quaint.2005.05.008>, 2006.
601
- 602 Lim, C. L., Prescott, G. W., De Alban, J. D. T., Ziegler, A. D., and Webb, E. L.: Untangling the proximate
603 causes and underlying drivers of deforestation and forest degradation in Myanmar, *Conservation Biology*, 31,
604 1362-1372, 10.1111/cobi.12984, 2017.
605
- 606 Luu, T. N. M., Garnier, J., Billen, G., Orange, D., Némery, J., Le, T. P. Q., Tran, H. T., and Le, L. A.:
607 Hydrological regime and water budget of the Red River Delta (Northern Vietnam), *Journal of Asian Earth
608 Sciences*, 37, 219-228, <https://doi.org/10.1016/j.jseaes.2009.08.004>, 2010.
609
- 610 Mai, C. V., Stive, M. J. F., and Van Gelder, P. H. A. J. M.: Coastal protection strategies for the Red River Delta,
611 *Journal of Coastal Research*, 105-116, 10.2112/07-0888.1, 2009.
612
- 613 Mainuddin, M., Kirby, M., and Hoanh, C. T.: Adaptation to climate change for food security in the lower
614 Mekong Basin, *Food Security*, 3, 433-450, 10.1007/s12571-011-0154-z, 2011.
615
- 616 Men, B. X., Tinh, T. K., Preston, T. R., Ogle, R. B., and Lindberg, J. E.: Use of local ducklings to control insect
617 pests and weeds in the growing rice field, *Livestock Research for Rural Development*, 11, 1999.
618
- 619 Men, B. X., Ogle, R. B., and Lindberg, J. E.: Studies on integrated duck-rice systems in the Mekong Delta of
620 Vietnam, *Journal of Sustainable Agriculture*, 20, 27-40, 10.1300/J064v20n01_05, 2002.
621
- 622 Minderhoud, P. S. J., Coumou, L., Erban, L. E., Middelkoop, H., Stouthamer, E., and Addink, E. A.: The
623 relation between land use and subsidence in the Vietnamese Mekong delta, *Science of The Total Environment*,
624 634, 715-726, <https://doi.org/10.1016/j.scitotenv.2018.03.372>, 2018.
625
- 626 A map of lowland rice extent in the major rice growing countries of Asia: [http://irri.org/our-](http://irri.org/our-work/research/policy-and-markets/mapping)
627 [work/research/policy-and-markets/mapping](http://irri.org/our-work/research/policy-and-markets/mapping), access: 19 Nov, 2015.
628
- 629 Neumann, J. E., Emanuel, K. A., Ravela, S., Ludwig, L. C., and Verly, C.: Risks of coastal storm surge and the
630 effect of sea level rise in the Red River Delta, Vietnam, *Sustainability*, 7, 10.3390/su7066553, 2015.
631
- 632 Newell, B., and Wasson, R.: Social system vs solar system: Why policy makers need history. Conflict and
633 Cooperation related to International Water Resources: Historical Perspectives. Selected Papers of the
634 International Water History Association's Conference on The Role of Water in History and Development. A
635 contribution to IHP-VI, Theme 4 "Water and Society" and the UNESCO/Green Cross International Initiative
636 from Potential Conflict to Co-operation Potential: Water for Peace, a sub-component of the World Water
637 Assessment Programme, Bergen, Norway, 10-12 Aug 2001, 2002.
638
- 639 Nguyen, H. N. V., Kien Trung, and Nguyen, X. N.: Flooding the the Mekong River Delta, Viet Nam. Human
640 development report 2007/2008. Fighting climate change: Human solidarity in a divided world. Human
641 Development Report Office Occassional Paper, 2007.
642
- 643 Nguyen, K.-A., Liou, Y.-A., and Terry, J. P.: Vulnerability of Vietnam to typhoons: A spatial assessment based
644 on hazards, exposure and adaptive capacity, *Science of The Total Environment*, 682, 31-46,
645 <https://doi.org/10.1016/j.scitotenv.2019.04.069>, 2019.
646



- 647 Nguyen, N. A.: Historic drought and salinity intrusion in the Mekong Delta in 2016: Lessons learned and
648 response solutions, *Vietnam Journal of Science, Technology and Engineering*, 60, 93-96, 2017.
- 649
650 Nguyen, Y. T. B., Kamoshita, A., Dinh, V. T. H., Matsuda, H., and Kurokura, H.: Salinity intrusion and rice
651 production in Red River Delta under changing climate conditions, *Paddy and Water Environment*, 15, 37-48,
652 10.1007/s10333-016-0526-2, 2017.
- 653
654 Nhan, N. H., and Cao, N. B.: Chapter 19 - Damming the Mekong: Impacts in Vietnam and solutions, in: *Coasts
655 and Estuaries*, edited by: Wolanski, E., Day, J. W., Elliott, M., and Ramachandran, R., Elsevier, 321-340, 2019.
- 656
657 Nikula, J.: Is harm and destruction all that floods bring?, *Modern myths of the Mekong-a critical review of
658 water and development concepts, principles and policies: Water & Development Publications-Helsinki
659 University of Technology. Finland*, 27-38, 2008.
- 660
661 Normile, D.: Vietnam turns back a 'tsunami of pesticides', *Science*, 341, 737-738, 10.1126/science.341.6147.737,
662 2013.
- 663
664 Phuong, N. M., Kang, Y., Sakurai, K., Iwasaki, K., Kien, C. N., Van Noi, N., and Son, L. T.: Levels and
665 chemical forms of heavy metals in soils from Red River Delta, Vietnam, *Water, Air, and Soil Pollution*, 207,
666 319-332, 10.1007/s11270-009-0139-0, 2010.
- 667
668 Pilarczyk, K. W., and Nguyen, S. N.: Experience and practices on flood control in Vietnam, *Water International*,
669 30, 114-122, 10.1080/02508060508691843, 2005.
- 670
671 Rahman, M. A., and Hasegawa, H.: High levels of inorganic arsenic in rice in areas where arsenic-contaminated
672 water is used for irrigation and cooking, *Science of The Total Environment*, 409, 4645-4655,
673 <https://doi.org/10.1016/j.scitotenv.2011.07.068>, 2011.
- 674
675 Redmond, G., Hodges, K. I., Mcsweeney, C., Jones, R., and Hein, D.: Projected changes in tropical cyclones
676 over Vietnam and the South China Sea using a 25 km regional climate model perturbed physics ensemble,
677 *Climate Dynamics*, 45, 1983-2000, 10.1007/s00382-014-2450-8, 2015.
- 678
679 Ritzema, H. P., Thinh, L. D., Anh, L. Q., Hanh, D. N., Chien, N. V., Lan, T. N., Kselik, R. A. L., and Kim, B. T.:
680 Participatory research on the effectiveness of drainage in the Red River Delta, Vietnam, *Irrigation and Drainage
681 Systems*, 22, 19-34, 10.1007/s10795-007-9028-0, 2008.
- 682
683 Robert, A.: A river in peril: Human activities and environmental impacts on the Lower Mekong River and its
684 Delta, *Environment: Science and Policy for Sustainable Development*, 59, 30-40,
685 10.1080/00139157.2017.1374794, 2017.
- 686
687 Schmitt, R. J. P., Rubin, Z., and Kondolf, G. M.: Losing ground - scenarios of land loss as consequence of
688 shifting sediment budgets in the Mekong Delta, *Geomorphology*, 294, 58-69,
689 <https://doi.org/10.1016/j.geomorph.2017.04.029>, 2017.
- 690
691 Sebesvari, Z., Le, T. T. H., and Renaud, F. G.: Climate change adaptation and agrichemicals in the Mekong
692 Delta, Vietnam, in: *Environmental change and agricultural sustainability in the Mekong Delta*, edited by:
693 Stewart, M. A., and Coclanis, P. A., Springer Netherlands, Dordrecht, 219-239, 2011.
- 694
695 Sebesvari, Z., Le, H. T. T., Van Toan, P., Arnold, U., and Renaud, F. G.: Agriculture and water quality in the
696 Vietnamese Mekong Delta, in: *The Mekong Delta system: Interdisciplinary analyses of a river delta*, edited by:
697 Renaud, F. G., and Kuenzer, C., Springer Netherlands, Dordrecht, 331-361, 2012.
- 698
699 Shrestha, S., and Trang, B. T. T.: Assessment of the climate-change impacts and evaluation of adaptation
700 measures for paddy productivity in Quang Nam province, Vietnam, *Paddy and Water Environment*, 13, 241-253,
701 10.1007/s10333-014-0434-2, 2015.
- 702
703 Smajgl, A., Toan, T. Q., Nhan, D. K., Ward, J., Trung, N. H., Tri, L. Q., Tri, V. P. D., and Vu, P. T.:
704 Responding to rising sea levels in the Mekong Delta, *Nature Climate Change*, 5, 167, 10.1038/nclimate2469,
705 2015.
- 706



- 707 Song, Y. H., and Heong, K. L.: Changes in searching responses with temperature of *Cyrtorhinus lividipennis*
708 reuter (Hemiptera: Miridae) on the eggs of the brown planthopper, *Nilaparvata lugens* (Stål.) (Homoptera:
709 Delphacidae), *Population Ecology*, 39, 201-206, 10.1007/bf02765266, 1997.
710
- 711 Stocker, T. F., Qin, D., Plattner, G. K., Alexander, L. V., Allen, S. K., Bindoff, N. L., Bréon, F. M., Church, J.
712 A., Cubasch, U., Emori, S., Forster, P., Friedlingstein, P., Gillett, N., Gregory, J. M., Hartmann, D. L., Jansen,
713 E., Kirtman, B., Knutti, R., Kumar, K. K., Lemke, P., Marotzke, J., Masson-Delmotte, V., Meehl, G. A.,
714 Mokhov, I. I., Piao, S., Ramaswamy, V., Randall, D., Rhein, M., Rojas, M., Sabine, C., Shindell, D., Talley, L.
715 D., Vaughan, D. G., and Xie, S P: Technical summary, in: *Climate change 2013: the physical science basis.*
716 *Contribution of working group I to the Fifth assessment report of the intergovernmental panel on climate change,*
717 *edited by: Stocker, T. F., and Qin, D., Cambridge University Press, Cambridge, 2013.*
718
- 719 Syvitski, J. P. M., Kettner, A. J., Overeem, I., Hutton, E. W. H., Hannon, M. T., Brakenridge, G. R., Day, J.,
720 Vörösmarty, C., Saito, Y., Giosan, L., and Nicholls, R. J.: Sinking deltas due to human activities, *Nature*
721 *Geoscience*, 2, 681-686, 10.1038/ngeo629, 2009.
722
- 723 Tran, D. D., van Halsema, G., Hellegers, P. J. G. J., Phi Hoang, L., Quang Tran, T., Kummu, M., and Ludwig,
724 F.: Assessing impacts of dike construction on the flood dynamics of the Mekong Delta, *Hydrol. Earth Syst. Sci.*,
725 22, 1875-1896, 10.5194/hess-22-1875-2018, 2018.
726
- 727 Tung, H. T., and Higano, Y.: Risk management for rice value chain to adapt with climate change in the Mekong
728 River delta, Vietnam. Paper prepared for the 48th Japan Section of the Regional Science Association
729 International (JSRSAI), Wakayama, Japan, 8-10 Oct 2011, 2011.
730
- 731 Vietnam: Record rice production forecast on surge in planting in Mekong Delta:
732 <https://ipad.fas.usda.gov/highlights/2012/12/Vietnam/>, access: 16 Nov 2018, 2012.
733
- 734 Vinh, V. D., Ouillon, S., Thanh, T. D., and Chu, L. V.: Impact of the Hoa Binh dam (Vietnam) on water and
735 sediment budgets in the Red River basin and delta, *Hydrology and Earth System Sciences*, 18, 3987-4005,
736 10.5194/hess-18-3987-2014, 2014.
737
- 738 Wang, C., Liang, J., and Hodges, K. I.: Projections of tropical cyclones affecting Vietnam under climate change:
739 downscaled HadGEM2-ES using PRECIS 2.1, *Quarterly Journal of the Royal Meteorological Society*, 143,
740 1844-1859, 10.1002/qj.3046, 2017.
741
- 742 Rice exports by country: <http://www.worldstopexports.com/rice-exports-country/>, access: 16 Nov 2018, 2018.
743
- 744 Ziegler, A. D., Echaubard, P., Lee, Y. T., Chuah, C. J., Wilcox, B. A., Grundy-Warr, C., Sithithaworn, P.,
745 Petney, T. N., Laithevewat, L., Ong, X., Andrews, R. H., Ismail, T., Sripa, B., Khuntikeo, N., Poonpon, K.,
746 Tungtang, P., and Tuamsuk, K.: Untangling the complexity of liver fluke infection and cholangiocarcinoma in
747 NE Thailand through transdisciplinary learning, *EcoHealth*, 13, 316-327, 10.1007/s10393-015-1087-3, 2016.
- 748
- 749
- 750



751 **Tables**

752 **Table 1.** Rice planting, growing and harvesting periods in the Mekong River Delta and the Red
 753 River Delta in Vietnam.

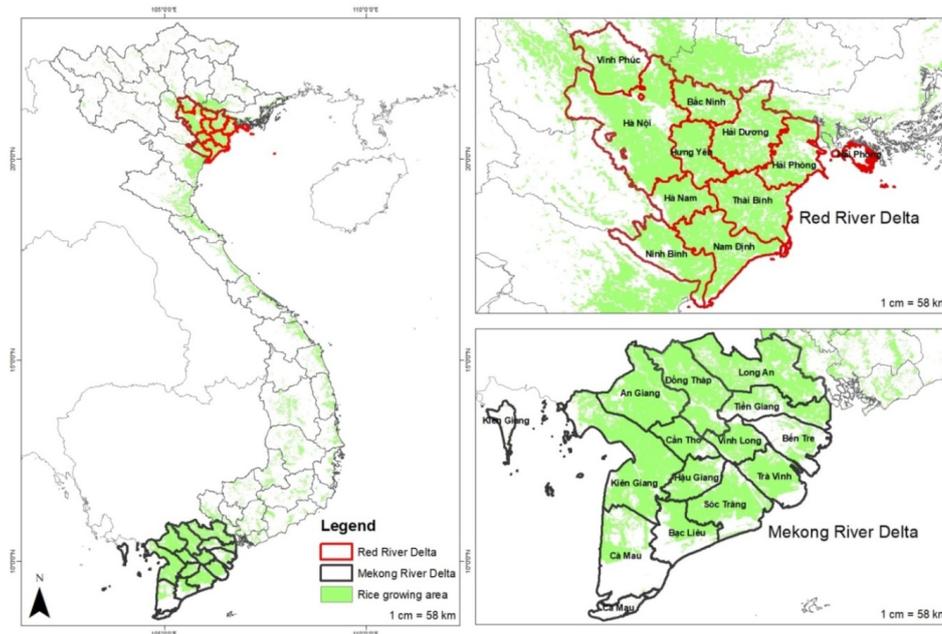
	Planting			Harvesting			Growing period
	Onset	Peak	End	Onset	Peak	End	
Mekong River Delta							
Winter-spring	1 Nov	30 Nov	30 Dec	15 Feb	25 Mar	30 Apr	115 – 120 days
Summer-autumn	15 Mar	15 Apr	15 May	20 Jun	20 Jul	25 Aug	95 – 100 days
Autumn-winter	30 Jun	20 Jul	20 Aug	5 Oct	25 Oct	30 Nov	95- 100 days
Red River Delta							
Spring	25 Jan	10 Feb	25 Feb	5 Jun	15 Jun	25 Jun	115 - 130 days
Autumn	15 Jun	1 Jul	20 Jul	5 Oct	25 Oct	10 Nov	105 - 110 days

754

755



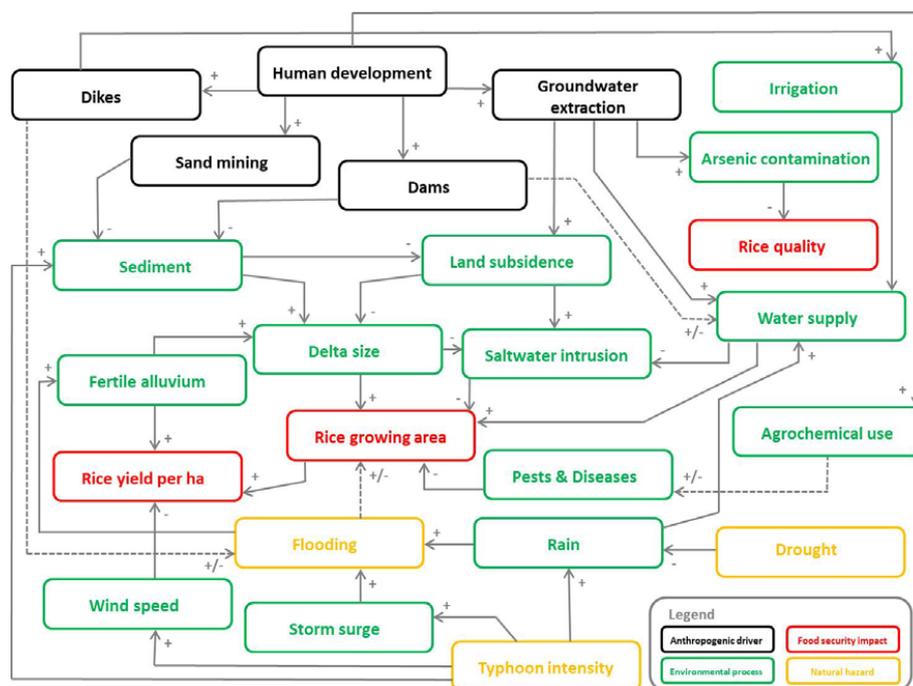
756 **Figures**



758

759 **Figure 1.** Distribution of rice growing areas in the Red River Delta (RRD) in northern Vietnam
760 and the Mekong River Delta (MRD) in southern Vietnam. The provinces in the RRD include Bac
761 Ninh, Ha Nam, Hai Duong, Hung Yen, Nam Dinh, Ninh Binh, Thai Binh, Ha Tay, Vinh Phuc,
762 Hanoi (municipality) and Hai Phong (municipality). The provinces in the MRD include Dong
763 Thap, An Giang, Bac Lieu, Ben Tre, Ca Mau, Can Tho, Hau Giang, Kieng Giang, Long An, Soc
764 Trang, Tien Giang, Tra Vinh and Vinh Long. Rice growing extents were obtained from Nelson
765 and Gumma (2015).

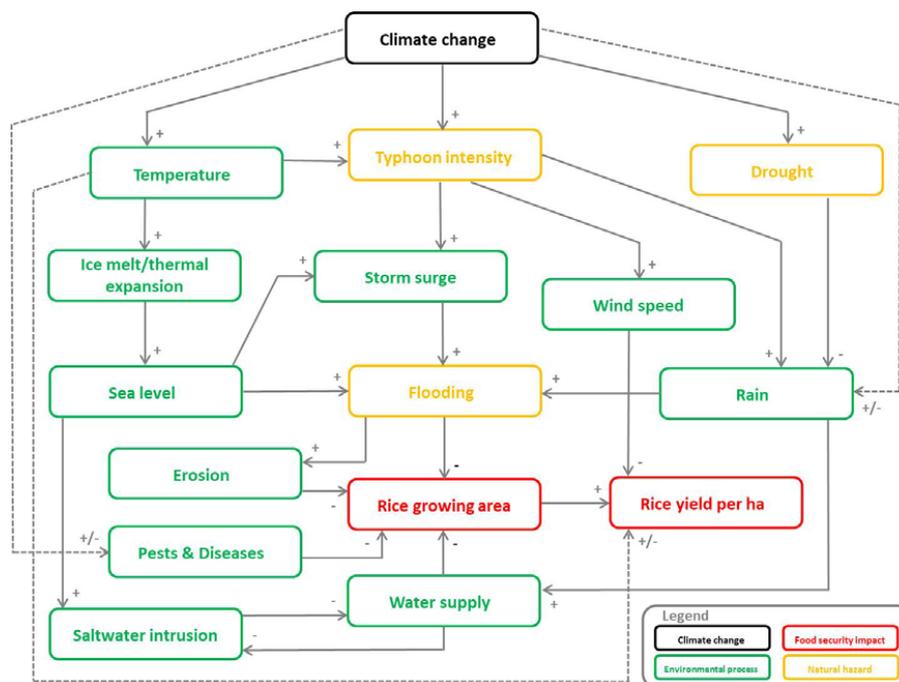
766



767

768 **Figure 2.** Causal loop diagram showing the key anthropogenic drivers and natural hazards that
 769 affect rice production in the two mega-deltas of Vietnam. A plus (+) sign indicates that an
 770 increase/decrease in A causes B to increase/decrease. A negative (-) sign indicates an
 771 increase/decrease in A causes B to decrease/increase. Hashed lines with “+/-” are used when
 772 outcomes are unclear. For example, dikes reduce flooding but poorly maintained or planned dikes
 773 increase flooding instead. Dams may increase or decrease water supply as dams can regulate
 774 water flow. Similarly, floods are often considered bad but moderate flooding can improve
 775 fertility, remove contaminants and kill pests. Lastly, agrochemical use may reduce the incidence
 776 of pests and diseases but the over-use of chemicals can lead to pesticide resistance which may
 777 increase outbreaks of pests and diseases.

778



779

780 **Figure 3.** Causal loop diagram showing the potential impacts of climate change on the two
 781 mega-deltas of Vietnam. Hashed lines with “+/-“ represent instances where the impacts of
 782 climate change is unclear, such as the effect of climate change on rainfall patterns or the effects
 783 of increasing temperatures on rice yields. The temperature variable refers to air and sea
 784 temperatures. The effect of climate change on pest and disease incidence is also not
 785 straightforward.